



四、會計師公費資訊 Information Regarding Fee

單位：新台幣千元；Unit:NT\$ Thousand

事務所名稱 The Name of the Office of CPA	會計師姓名 The Name of the CPA		審計公費 Audit Fee	非審計公費 Non-Audit Fee					會計師之查核期間是否涵蓋完整會計年度 Did the Audit Period Cover the Entire Fiscal Year?		
				制度設計 System Design	工商登記 Business Registration	人力資源 Human Resources	其他 Others	小計 Sub-Total	是 Yes	否 No	查核期間 Audit Period
建興聯合會計師事務所 Horwath Chien Hsing, Certified Public Accountants	謝仁耀 Jen-Yao Hsieh	蔡淑滿 Shu-Man Tsai	2,300	0	0	0	80 (註)	80	V		

註：表列「非審計公費-其他」金額80千元，係委託會計師辦理打銷呆帳簽證費、盈餘轉增資本申報費及發行金融債券評估簽證費。

Note : Stated as “non-audit fee – others”, NT\$80 thousand, which refers to the commissioned CPA’s certification fee of offset against bad debt, the reporting fee of capital increase by earnings recapitalization and the certification fee of assessment on issue of financial bonds.

五、更換會計師資訊 Alternation of CPA

(一) 關於前任會計師者 About the former CPA

更換日期 Alternated Date	無 None			
更換原因及說明 Alternated Reason	無 None			
說明係委任人或會計師終止或不接受委任 Please specify whether the appointment is terminated or unaccepted by the appointer or CPA.	情況 Situation	當事人 Related parties	會計師 CPA	委任人 Appointer
		主動終止委任 Voluntary termination of appointment	無 None	無 None
		不再接受(繼續)委任 No further acceptance (continuation) of appointment	無 None	無 None
新兩年內簽發無保留意見以外之查核報告書意見及原因 Opinion and reason for any audit report other than unqualified opinion in the last two years	無 None			
與本行有無不同意見 Any disagreement with the Bank	有 Yes	—	會計原則或實務 Accounting principles or practices	
		—	財務報告之揭露 Disclosure of financial statement	
		—	查核範圍或步驟 Scope or steps of audit	
		—	其他 Others	
	無 None	V		
	說明 Remarks			
其他揭露事項 Other Disclosures	無 None			

(二) 關於繼任會計師者 About the successive CPA

事務所名稱 The Name of the Office of CPA	無 None
會計師姓名 The Name of the CPA	無 None
委任之日期 Date of appointment	無 None
委任前就特定交易之會計處理方法或會計原則及對財務報告可能簽發之意見諮詢事項及結果 Before the successive CPA is appointed, if it inquired such accountant about the accounting treatment method of specific transactions or the applicable accounting principles and his / her possible opinion on the financial report, it shall disclose the matters it inquired about and the result thereof	無 None
繼任會計師對前任會計師不同意見事項之書面意見 The successive CPA’s written opinion in connection with the discrepancy of opinion between it and the former CPA	無 None

(三) 前任會計師對「銀行年報應行記載事項準則」第10條第5款第1目及第2目第3點事項之復函：無。

The former CPA’s written response to the matters referred to in Article 10.5(1) and Article 10.5(2).(iii) of the “Criteria Governing Information to be Published in Annual Reports for Bank” : none.

六、董事長、總經理、負責財務或會計事務之經理人，最近1年內曾任職於簽證會計師所屬事務所或其關係企業情形：無。

Chairman, President or Managers Responsible for Financial or Accounting Business Assume Positions in the Office of CPA Certified the Bank's Financial Statement or in the Affiliates Thereof in the Latest Year: none

七、97年度及截至98年3月止，董事、監察人、經理人及依銀行法第25條第3項規定應申報股權者之股權變動情形

Change of Shares which Belong to Directors, Supervisors, Officers and Shareholders Who Shall Report Their Shares under Clause 25, Item 3 in the Banking Law of the Republic of China for FY 2008 and up to Mar. 2009

職稱 Title	姓名 Name	2008.01.01-2008.12.31		2009.01.01-2009.03.31	
		持有股數增(減)數 Change in Shareholding	質押股數增(減)數 Increase (decrease) on Pledged Shares	持有股數增(減)數 Change in Shareholding	質押股數增(減)數 Increase (decrease) on Pledged Shares
董事 Director	高雄市政府 Kaohsiung City Government	9,316,806	0	0	0
董事 Director	陳瑞芳 Jueifang P. Chen	14,924	0	0	0
副總經理代理總經理 Exec. Vice President and Acting President	鍾武湖 Wu-Hu Chung	2,504	0	0	0
副總經理 Exec. Vice President	賴光二 Kuang-Erh Lai	6,703	0	0	0
副總經理 Exec. Vice President	劉芳男 Fang-Nan Liou	6,034	0	0	0
總稽核 Chief Auditor	劉興地 Shing-Dieh Liu	5,551	0	0	0
主任秘書 Chief Secretary	徐翠梅 Tsui-Mei HSU	6,485	0	0	0
業務管理處處長 Business Management Dept., Vice President and General Manager	林仲貞 Chung-Chen Lin	4,605	0	0	0
研究發展處處長 Research & Development Dept., Vice President and General Manager	游禎言 Cheng-Yen Yu	5,143	0	0	0
風險管理處處長 Risk Management Dept., Vice President and General Manager	林丁連 Ting-Lien Lin	5,172	0	0	0
授信管理處處長 Credit Management Dept., Vice President and General Manager	王進安 Jin-Ann Wang	4,260	0	0	0
人力資源處兼行政管理處處長 Human Resources Dept and Administration Management Dept., Vice President and General Manager	陳六南 Liu-Nan Chen	5,109	0	0	0
法務處處長 Legal Affair Dept. Vice President and General Manager	周德琴 Te-Chin Chou	4,186	0	0	0
財務管理處處長兼OBU經理 Treasury Dept., Vice President and General Manager and OBU, General Manager	陳守仁 J.C. Shou-Jen Chen	4,140	0	0	0
資訊處處長 Information Technology Dept. Vice President and General Manager	蔡肇燊 Chao-Shen Tsai	4,196	0	0	0
公庫部經理 Municipal Treasury Dept. General Manager	柯碧蓉 Pi-Jung Ko	4,426	0	0	0
營業部經理 Business Dept., General Manager	陳美伶 Mei-Ling Chen	5,762	0	0	0
國外部經理 International Banking Dept., General Manager	蔡秀珍 Shiow-Jen Tsai	5,059	0	0	0
信託部經理 Trust Dept., General Manager	向元慶 Yuan-Chin Shiang	5,442	0	0	0
財富管理部經理 Wealth Management Dept. General Manager	張榮泰 Jung-Tai Chang	3,992	0	0	0
七賢分行經理 Chi Hsien Branch, General Manager	顏景瑞 Chin-Tzay Yan	4,186	0	0	0
鳳山分行經理 Feng Shan Branch, General Manager	李文昌 Wen-Chang Lee	4,364	0	0	0
前金分行經理 Chien Chin Branch, General Manager	吳明宏 Ming-Hung Wu	4,155	0	0	0
三民分行經理 San Min Branch, General Manager	謝燕卿 Yen-Ching Hsien	5,194	0	0	0
左營分行經理 Tso Ying Branch, General Manager	陳麗華 Li-Hwa Chen	3,839	0	0	0



職稱 Title	姓名 Name	2008.01.01-2008.12.31		2009.01.01-2009.03.31	
		持有股數增(減)數 Change in Shareholding	質押股數增(減)數 Increase (decrease) on Pledged Shares	持有股數增(減)數 Change in Shareholding	質押股數增(減)數 Increase (decrease) on Pledged Shares
小港分行經理 Hsiao Kang Branch, General Manager	劉宜鐘 Yi-Jong Liou	4,774	0	0	0
桃園分行經理 Tao Yuan Branch, General Manager	陳威綸 Chuck Chen	4,847	0	0	0
前鎮分行經理 Chien Chen Branch, General Manager	林啓仁 Chi-Jen Lin	115	0	0	0
右昌分行經理 Yu Chang Branch, General Manager	劉秀慧 Shiu-Hui Liu	(2,379)	0	0	0
屏東分行經理 Pin Tung Branch, General Manager	李翠馨 Trey-Shin Lee	4,988	0	0	0
桂林分行經理 Kwei Lin Branch, General Manager	尤直珍 Yi-Chen Yu	3,631	0	0	0
六合分行經理 Liu Ho Branch, General Manager	盧如珍 Ju-Chen Lu	5,113	0	0	0
楠梓分行經理 Nan Tze Branch, General Manager	黃世宏 Shih-Hung Huang	3,599	0	0	0
鼓山分行經理 Ku Shan Branch, General Manager	藍健成 Chien-Cheng Lan	4,914	0	0	0
建國分行經理 Chien Kuo Branch, General Manager	劉安和 An-Ho Liu	6,164	0	0	0
九如分行經理 Chiu Ju Branch, General Manager	許月雲 Anna Hsu	5,115	0	0	0
鹽埕簡易型分行經理 Yen Cheng Mini Branch, General Manager	林芳蘭 Fang-Lan Lin	3,642	0	0	0
草衙分行經理 Tsao Ya Branch, General Manager	吳敏成 Min-Cheng Wu	4,559	0	0	0
板橋分行經理 Pan Chiao Branch, General Manager	吳維民 Wei-Min Wu	3,361	0	0	0
台南分行經理 Tai Nan Branch, General Manager	黃獻正 Hsien-Cheng Huang	4,000	0	0	0
三多分行經理 San To Branch, General Manager	嚴惠芬 Hueng-Fen Yen	4,733	0	0	0
大發分行經理 Da Fa Branch, General Manager	蘇文略 Wen-Lueh Su	4,545	0	0	0
大直分行經理 Ta Chih Branch, General Manager	丁文龍 Aaron Ting	3,506	0	0	0
台中分行經理 Tai Chung Branch, General Manager	蔡登崑 Duncan Tsai	4,252	0	0	0
旗津簡易型分行經理 Chi Chin Mimi Branch, General Manager	郭水性 Shui-Shing Kuo	3,491	0	0	0
南高雄分行經理 Nan Kaohsiung Branch, General Manager	郭滄文 Shen-Wen Guo	3,880	0	0	0
大昌分行經理 Ta Chang Branch, General Manager	余文豪 Wen-Hau Sher	3,125	0	0	0
新竹分行經理 Hsin Chu Branch, General Manager	王忠輝 Chung-Hui Wang	4,166	0	0	0
市府分行經理 Shih Fu Branch, General Manager	黃秋琴 Chiu-Chin Huang	5,146	0	0	0
中和分行經理 Chung Ho Branch, General Manager	黃慶堯 Don Huang	4,566	0	0	0
顧問兼台北分行經理 Consultant and Taipei Branch, General Manager	柯俊清 Chun-Ching Ko	5,822	0	0	0
明誠分行經理 Min Cheng Branch, General Manager	張美珠 Mei-Chu Chang	4,394	0	0	0
北高雄分行經理 Pei Kaohsiung Branch, General Manager	李華仁 Hwa-Jen Lee	4,581	0	0	0
博愛分行經理 Po Ai Branch, General Manager	王業興 Yeh-Shing Wang	4,521	0	0	0

註1：主要股東係指持有本行股份總額超過1%之股東。

Major shareholder, who owns more than 1% of the Bank's outstanding shares.

註2：97年度及截至98年3月止，股權移轉之相對人均非關係人，且無股權質押之情事。

The counterparties of share transfer in FY 2008 and up to the Mar. 2009 of this report are not related parties, no case of share pledges in the same time.

註3：本行董事、監察人、經理人及依銀行法第25條第3項規定應申報股權者，除上列人員股權變動外，其餘人員均未發生股權移轉。
Others than the above-mentioned directors, supervisors, managers and personnel who shall declare the rights letter pursuant to Paragraph 3 of Article 25 of the Banking Law did not transfer their stocks.

八、持股比例占前十大股東間互為財務會計準則公報第6號關係人關係之資訊

Information for Top Ten Shareholders Being the Related Party as Defined in Statement of Financial Accounting Standards No. 6.

2009.04.20

姓名 Name	本人持有股份 Shareholding		配偶、未成年子女 現在持有股份 Spouse & Minor Shareholding		利用他人名義 合計持有股份 Shares Held through Other Parties		前十大股東相互間具有財務會計準則公報第6號關係人或為配偶、二親等以內之親屬關係者，其名稱或姓名及關係 Names and Relationship of Any of the Top Ten Shareholders being A Related Party as Defined in Statement of Financial Accounting Standards No. 6 or spouse or relatives within 2nd degree of relationship	
	股數 Shares	持股比例(%) Shareholdings	股數 Shares	持股比例(%) Shareholdings	股數 Shares	持股比例(%) Shareholdings	名稱 Name	關係 Relationship
高雄市政府 Kaohsiung City Government	242,236,966	46.07	0	0	0	0	無 None	無 None
黃望修 W. H. Huang (高雄市政府代表) (Representative of Kaohsiung City Government)	0	0	0	0	0	0	無 None	無 None
雷仲達 Chung-Dar Lei (高雄市政府代表) (Representative of Kaohsiung City Government)	0	0	0	0	0	0	無 None	無 None
鍾武湖 Wu-Hu Chung (高雄市政府代表) (Representative of Kaohsiung City Government)	2,772	0.0005	0	0	0	0	無 None	無 None
王再福 Tsai -Fu Wang (高雄市政府代表) (Representative of Kaohsiung City Government)	0	0	0	0	0	0	無 None	無 None
周元培 Yuan-Pei Chou (高雄市政府代表) (Representative of Kaohsiung City Government)	0	0	0	0	0	0	無 None	無 None
郝建生 Chien-Sheng Hao (高雄市政府代表) (Representative of Kaohsiung City Government)	0	0	0	0	0	0	無 None	無 None
陳瑞芳 Jueifang P. Chen (高雄市政府代表) (Representative of Kaohsiung City Government)	24,924	0.0047	0	0	0	0	無 None	無 None
施純津 Chun-Chin Shih	19,959,598	3.80	13,669,296	2.60	0	0	余美園 Mei-Yuan Yu	配偶 Spouse
余美園 Mei-Yuan Yu	13,669,296	2.60	19,959,598	2.94	0	0	施純津 Chun-Chin Shih	配偶 Spouse
中國人壽股份有限公司 CHINA LIFE INSURANCE COMPANY, LTD.	9,751,040	1.85	0	0	0	0	無 None	無 None
幸福人壽全權委託大眾投信投資帳戶 Ta Chong Investment Trust Investment Account commissioned by Singfor Life with full power	9,001,280	1.71	0	0	0	0	無 None	無 None
復華中小精選基金專戶 Fuh Hwa Middle -small handpicked fund	5,139,600	0.98	0	0	0	0	無 None	無 None
美商摩根大通銀行台北分行受託保管 寶源國際精選基金台灣股權投資專戶 Schroders commissioned to J. P. Morgan, Taipei Branch	3,904,264	0.74	0	0	0	0	無 None	無 None
美商花旗銀行台北分行受託保管次元 新興市場投資專戶 Dimension emerging market commissioned to CitiBank, Taipei Branch	3,673,926	0.70	0	0	0	0	無 None	無 None
美商花旗銀行台北分行受託保管犇亞 證券(亞洲)有限公司客戶犇亞國際 代理有限公司投資專戶 PRIMASIA INT'L NOMINEES LTD.	3,354,000	0.64	0	0	0	0	無 None	無 None
陳明志 Ming-Zhi Chen	2,428,680	0.46	0	0	0	0	無 None	無 None



九、本行及董事、監察人、總經理、副總經理、協理、各部門及分支機構主管及銀行直接或間接控制之事業對同一轉投資事業之持股數及綜合持股比例

The Shareholding and Syndicated Shareholding of an Identical Invested Business Held by the Bank, Directors, Supervisors, President, Exec. Vice Presidents, Heads of Departments and Branches and the Bank Directly or Indirectly Governed Business

2009.03.31

轉投資事業名稱 Name	本行投資 the Bank's Investments		董事、監察人、總經理、副總經理、協理、各部門及分支機構主管及銀行直接或間接控制事業之投資 Investments of Directors, Supervisors, President, Exec. Vice Presidents, Heads of Departments and Branches and the Bank Directly or Indirectly Governed Business		綜合投資 Syndicated Investments	
	股數 Shares	持股比例 Shareholding (%)	股數 Shares	持股比例 Shareholding (%)	股數 Shares	持股比例 Shareholding (%)
台北外匯經紀(股)公司 Taipei Forex Inc.	700,000	3.53178	—	—	700,000	3.53178
臺灣期貨交易所(股)公司 Taiwan Futures Exchange Corporation	704,220	0.30000	—	—	704,220	0.30000
臺灣票券金融(股)公司 Taiwan Finance Corporation	51,616,500	10.00000	—	—	51,616,500	10.00000
國票綜合證券(股)公司 Waterland Securities Co., Ltd.	40,783,000	4.93097	—	—	40,783,000	4.93097
台灣金聯資產管理(股)公司 Taiwan Asset Management Corporation	10,000,000	0.56753	—	—	10,000,000	0.56753
台灣金融資產服務(股)公司 Taiwan Financial Asset Services Co., Ltd.	5,000,000	2.94118	—	—	5,000,000	2.94118
高雄捷運(股)公司 Kaohsiung Rapid Transit Corporation	5,000,000	0.50000	—	—	5,000,000	0.50000
高銀財產保險代理人(股)公司 Kaohsiung Bank General Insurance Agency Co., Ltd.	500,000	100.00000	—	—	500,000	100.00000
高銀人身保險代理人(股)公司 Kaohsiung Bank Insurance Agency Co., Ltd.	300,000	100.00000	—	—	300,000	100.00000
財金資訊(股)公司 Financial Information Services Co., Ltd.	4,550,000	1.13750	—	—	4,550,000	1.13750
臺灣集中保管結算所(股)公司 Taiwan Depository & Clearing Corporation	247,425	0.08297	—	—	247,425	0.08297
陽光資產管理(股)公司 Sunny Asset Management Corporation	347	0.00578	—	—	347	0.00578
臺灣高速鐵路(股)公司 Taiwan High Speed Rail Corp.	10,800,000	0.10254	—	—	10,800,000	0.10254